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# INFORMATION SYSTEM

## Field of the Invention

This invention relates to an information system and, in particular, to a system for providing information relating to  
5 a predetermined geographical area.

## Background to the Invention

Conventional prior art mass market computing platforms include personal computers, server computers, information appliances, communication devices, display devices, hard copy devices, and  
10 the like.

There is substantial potential, at least in theory, for widespread interaction between such computing platforms. However, because of the potential for fraud and manipulation of electronic data, such interaction and, in particular, fully  
15 automated transactions between such computing platforms are often avoided. The fundamental issue is one of trust between interacting computer platforms.

There have been several prior art schemes which are aimed at increasing the security and trustworthiness of computing  
20 platforms. Predominantly, these rely upon adding in security features at the application level, as opposed to building them into the fundamental hardware components of the computing platform, and although such prior art schemes go some way to improving the security of computer platforms, the levels of  
25 security and trust gained thereby may be considered insufficient for some applications in which greater confidence in the trustworthiness of the underlying technology may be required.



- The trusted component increases the inherent security of the entity itself, through verification and monitoring processes implemented by the trusted component.
- 5 • The computer entity is more likely to behave in the way it is expected to behave.

However, if a first computer platform user enters a geographical area, for example, a building, in which the computer platforms are unfamiliar to him/her, the security  
 10 attributes of such computer platforms will also be unknown. Thus, the user will be unaware of the computer platforms available for use, and also the degree of confidence with which he/she may interact therewith.

Existing methods of providing or publishing security  
 15 information include a "Public Key Infrastructure" and key distribution systems.

In a Public Key Infrastructure, a certificate states certain attributes of a target and is signed by some previously trusted entity. A visitor to, for example, a building, obtains a  
 20 certificate and is able to verify the authenticity of the certificate because of prior knowledge of the trusted entity. The visitor trusts the trusted entity, and therefore trusts the attributes (including security attributes) stated in the certificate.

25 In known key distribution schemes, the visitor receives keys of a target from a key distribution service. The key distribution service is expected to trust the visitor, and vice

versa. Keys may be expected to be trusted by the visitor because they are signed by the key distribution service, and the visitor is able to verify such signatures. Keys may be rendered confidential because of intimate contact with a node  
5 of the key distribution service. Alternatively, keys may be rendered confidential because they are encrypted by the key distribution service, and the visitor is able to decrypt such data.

Many geographical areas have a central information point from  
10 which general information may be obtained by a visitor who is unfamiliar with that area. However, such information is usually only displayed on a screen for perusal by the visitor. There is usually no way of saving such information electronically in a user's computer platform, for example, for  
15 reference or use later, and even if there were, it is unlikely that the user would trust the integrity and security of the information point sufficiently to allow it to interact with his/her computer platform.

#### Summary of the Invention

20 In accordance with a first aspect of the invention, there is provided an information system comprising an information access point relating to at least one predetermined geographical area, said information access point including apparatus for retrieving information relating to computing platforms located  
25 within said predetermined geographical area, together with security attributes of said computing platforms, said information system being arranged to provide said information to a user upon request.

Thus, a visitor to a building, for example, who is unfamiliar with the computing platforms available for use therein can obtain such information from a central information access point. In a preferred embodiment, the system provides only details and/or a list of public keys of genuine trusted computing platforms within the area, i.e. those including a 'trusted component'. In this case, the information system preferably also comprises a trusted computing platform.

Beneficially, the information system comprises means for communicating or interacting with a user's portable computing apparatus. Such apparatus may be in the form of a smart card, such that the information system includes a smart card reader, or, for example, a laptop computer or the like. In any event, it is preferable for communications between the information system and the user's portable computer apparatus to be unambiguous, such that the system preferably comprises a contact reader or directional wireless communication such as IR, for example.

If the information system is for use within an area owned by  
20 a private organisation, the system preferably includes means  
for verifying the identity of the user. However, if the system  
is for use in a publicly-owned area, such as a library or  
government building, then the system may preferably be arranged  
to provide the requested information indiscriminately upon  
25 request.

The system may include means to enable the user to perform operations, either locally or remotely, upon the information provided thereby.



preserve the user's privacy. Such memory may be erased after a predetermined period of time, or upon the user's exit from the predefined area or on command. The system may also be arranged to delete upon command any information in the user's  
 5 personal computing apparatus that was previously provided to the user's personal computing apparatus.

In a most preferred embodiment of the first aspect of the invention, the set of described computing platforms provided by the information system is restricted to 'trusted computing  
 10 platforms'. Thus, the information system may comprise a smartcard reader that contains a list of public keys that identify trusted platforms within the vicinity. The list would preferably be signed by the attesting entity. When a visitor to an area wishes to use a trusted computing platform within  
 15 that area, the visitor can use their personal smartcard to obtain details of genuine trusted computing platforms in the area and, optionally, verify such details before using the platforms. The visitor's smartcard thereafter knows which platforms in the vicinity are genuine trusted platforms.

20 In accordance with a second aspect of the present invention, there is provided an information system comprising a computing platform having a trusted component, apparatus for communicating with a user's portable computing apparatus, said information system being arranged to retrieve information  
 25 relating to a predetermined geographical area and to communicate said information to said user's portable computing apparatus upon request.

Thus, the second aspect of the present invention provides a general information system which enables selected trustworthy information about an unfamiliar geographical area to be retrieved and distributed to a user's personal computing apparatus. Such information may relate to computing platforms within the area, as in the first aspect of the present invention, and the system may be arranged to provide a list of public keys of trusted computing platforms and/or a list of the public keys or the certificates of the public keys for other equipment. Thus, the system provides a key distribution service which may be implemented using a standard key distribution mechanism, for example, one of the mechanisms in ISO/IEC 11770.

In addition, or alternatively, it may comprise information such as maps, contact information, shopping information, etc. depending upon the predefined area in which the system is located. Some or all of the provided information may also be displayed on a screen or monitor.

The user's personal computing apparatus may comprise a smartcard, in which case the system comprises a smartcard reader. However, the user's personal computing apparatus may alternatively comprise a PDA, mobile phone, USB token (i.e. a reader-less smartcard), and the like. The integrity of the information system computing platform can preferably be verified via the user's personal computing apparatus. In one embodiment of the invention, the system is preferably arranged to verify the identity of the user before providing the requested information.



Brief Description of the Drawings

An embodiment of the present invention will now be described by way of example only and with reference to the accompanying drawings, in which:

5 Figure 1 illustrates schematically a trusted computing platform as previously described in the applicant's European patent application entitled 'Trusted Computing Platform' filed 15 February 1999;

10 Figure 2 illustrates schematically connectivity of selected components of the computing platform of Figure 1;

Figure 3 illustrates schematically a hardware architecture of components of the computing platform of Figure 1;

15 Figure 4 illustrates schematically and architecture of a trusted component comprising the computing platform of Figure 1;

Figure 5 illustrates schematically a hardware architecture of components of an exemplary embodiment of an information system according to the present invention; and

20 Figure 6 illustrates schematically an exemplary embodiment of an information system according to the present invention.

Detailed Description of the Invention

In the following description, numerous specific details are set forth in order to provide a thorough understanding of the present invention. It will be apparent, however, to one

skilled in the art, that the invention may be practiced without limitation to these specific details. In other instances, well known methods and structures have not been described in detail so as to avoid unnecessarily obscuring the present invention.

5 Referring to Figure 1 of the drawings, there is illustrated schematically one example of a trusted computing platform as previously described in the applicant's co-pending European patent application entitled 'Trusted Computing Platform' filed 15 February 1999. Referring to Figure 2, there is illustrated  
10 schematically the physical connectivity of some of the components of the trusted computer platform of Figure 1. Referring to Figure 3, there is illustrated schematically an architecture of the trusted computing platform of Figures 1 and 2, showing physical connectivity of components of the platform.  
15 Referring to Figure 4, there is illustrated schematically an architecture of a trusted component included in the computer platform of Figure 1.

In the example shown in Figures 1 to 4, the trusted computing platform is shown in the form of a personal computer suitable  
20 for domestic or business use. However, it will be understood by those skilled in the art that this is just one specific example of a trusted computing platform, and other example may take the form of a palmtop computer, a laptop computer, a server-type computer, a mobile phone-type computer, information  
25 appliances, communication devices, display devices and hard copy devices generally, and the like, and the invention is limited only by the scope of the appended claims.

In the example illustrated by Figure 1, the computing platform comprises a display monitor 100, a keyboard data entry means 101, a casing 102 comprising a motherboard on which is mounted a data processor, one or more data storage means, a dynamic  
5 random access memory, various input and output ports (not illustrated in Figure 1), a smart card reader 103 for accepting a user's smart card, a confirmation key 104, which a user can activate when confirming a transaction via the trusted computing platform, and a pointing device, e.g. a mouse or  
10 trackball device 105. The trusted computing platform also has a trusted component as described in the applicant's previous disclosure and as further described herein.

Referring to Figure 2 of the drawings, there are illustrated some of the components included in the trusted computing  
15 platform, including keyboard 101 which incorporates confirmation key 104 and a smart card reader 103, a main motherboard 200 on which is mounted first data processor 201 and trusted component 202, and example of a hard disk drive 203, and monitor 100. Additional components which may be  
20 included in the computing platform, such as an internal frame to the casing 102 housing one or more local area network (LAN) ports, one or more modem ports, one or more power supplies, cooling fans, and the like, are not shown in Figure 2.

Referring to Figure 3 of the drawings, main motherboard 200 is  
25 manufactured comprising a processor 201, and a preferably permanently fixed trusted component 202, a memory device 300 local to the processor, a BIOS memory area 301, smart card interface 305, a plurality of control lines 302, a plurality of address lines 303, a confirmation key interface 306, and a

databus 304 connecting the processor 201, trusted component 202, memory area 300, BIOS memory area 301 and smart card interface 305. A hardware random number generator 309 is also able to communicate with the processor 201 using the bus 304.

5 External to the motherboard and connected thereto by the databus 304, are provided one or more hard disk drive memory devices 203, keyboard data entry device 101, pointing device 105, monitor 100, smart card reader 103, and one or more peripheral devices 307, 308, for example, a modem, printer,  
10 scanner, or other known peripheral device.

In the illustrated example, smart card reader 103 is wired directly to smart card interface 305 on the motherboard and does not connect directly to the databus 304. In an alternative example, however, the smartcard reader 103 may be  
15 connected directly to databus 304. To provide enhanced security, confirmation key switch 104 is hard wired directly to confirmation key interface 306 on motherboard 200, which provides a direct signal input to trusted component 202 when  
20 activation the confirmation key sends a signal directly to the trusted component, by-passing the first data processor and first memory means of the computer platform.

Trusted component 202 is positioned logically and physically between monitor 100 and processor 201 of the computing  
25 platform, so that trusted component 202 has direct control over the views displayed on monitor 100 which cannot be interfered with by processor 201.

Confirmation key 104 and confirmation key driver 306 provide a protected communication path (PCP) between a user and the trusted component, which cannot be interfered with by processor 201, which by-passes databus 304 and which is physically and  
 5 logically unconnected to memory area 300 or hard disk drive memory device(s) 203.

The trusted component lends its identity and trusted processes to the computer platform and the trusted component has those properties by virtue of its tamper-resistance, resistance to  
 10 forgery, and resistance to counterfeiting. Only selected entities with appropriate authorisation mechanisms are able to influence the processes running inside the trusted component. Neither an ordinary user of the trusted computer entity, nor any ordinary user or any ordinary entity connected via a  
 15 network to the computer entity may access or interfere with the processes running inside the trusted component. The trusted component has the property of being "inviolable".

In the illustrated example, the trusted component operates to monitor data, including user data files and applications, on  
 20 the computer platform by creating a set of data files which the trusted component dynamically monitors for any changes in the data, including absence of the data, which may occur as a result of the computer platform being compromised by a virus attack, or other interference. The trusted component is  
 25 allocated or seizes a plurality of memory location addresses and/or file directories in the first memory area of the computer platform, which become a user space reserved for use by the trusted component.

The reserved memory area comprises a selected proportion of the total memory area of the computer platform. Within the reserved memory area, the trusted component also creates a plurality of data files, which can either be copies from real user data files on the computer platform, or which can be created by the trusted component. The primary purpose of these files is to act as a set of files to which the trusted component has access, and to which ordinary user accounts of the computer platform, under normal operation, do not have access. Because the files in the reserved memory area are reserved for use by the trusted component and under normal operation, are not accessed by applications on the computer platform, the trusted component can use files stored in the reserve memory area as a "control" set of files by which to monitor unauthorised changes to the data, for example as caused by a virus.

Because the files stored in the reserved memory area are either duplicates of user files, duplicates of applications or files created specifically by the trusted component, they are of little or no value to the computer platform for performing its normal operating functions. If the files in the reserve memory area become corrupted for any reason, they may be sacrificed and are easily replaceable. However, since access to the reserve memory area is restricted to the trusted component, any corruption of the files in the reserve memory area is deemed to be indicative of changes to files occurring through undesirable mechanisms, e.g. by a virus program. The files in the reserve memory area are periodically monitored by the trusted component to check for such corruption. If corruption is discovered by the monitoring process, then a measure of the

likely corruption of the remaining memory area on the computer platform can be determined by probabilistic methods.

By providing a reserve memory area containing files which can be sacrificed, if the computer platform is compromised by a hostile attack, e.g. a virus, then the sacrificial files stored in the reserve memory area are at least as likely to be affected as other user data files stored in the remaining portion of the memory of the computer platform. Thus any corruption of the files in the reserve memory area, if detected early enough, may give an indication to the trusted component that file corruption is occurring on the computer platform, in which case the trusted component can take action to limit the spread of corruption at an early stage, and preferably before damage is done to important data files stored in the remaining memory area of the computer platform.

Referring to Figure 4 of the drawings, there is illustrated schematically an internal architecture of trusted component 202. The trusted component comprises a processor 400, a volatile memory area 401, a non-volatile memory area 402, a memory area storing native code 403, and a memory area storing one or a plurality of cryptographic functions 404, the non-volatile memory 401, native code memory 403 and cryptographic memory 404 collectively comprising the second memory means hereinbefore referred to. The cryptographic functions 404 may include or comprise a source of random numbers.

Trusted component 202 comprises a completely independent computing entity from the computer platform. In the illustrated example, the trusted component shares a motherboard

with the computer platform so that the trusted component is physically linked to the computer platform. In a preferred embodiment, the trusted component is physically distinct from the computer platform, that is to say it does not exist solely  
5 as a sub-functionality of the data processor and memory means comprising the computer platform, but exists separately as a separate physical data processor 400 and separate physical memory area 401, 402, 403, 404. By providing a physically separate trusted component, the trusted component becomes more  
10 difficult to mimic or forge through software introduced onto the computer platform. Programs within the trusted component are pre-loaded at manufacture of the trusted component, and are not generally user configurable. The physicality of the trusted component, and the fact that the trusted component is  
15 not configurable by the user enables the user to have confidence in the inherent integrity of the trusted component, and therefore a high degree of "trust" in the operation and presence of the trusted component on the computer platform.

The user's smart card may comprise a "cash card" or a "crypto  
20 card", the functions of which are described in the applicant's above-mentioned previous disclosure 'Computing Apparatus and Methods of Operating Computing Apparatus'.

On each individual smart card may be stored a corresponding respective security data which is different for each smart  
25 card. For user interactions with the trusted component, e.g. for a dialogue box monitor display generated by the trusted component, the trusted component takes the security data (e.g. image data) from the user's smart card, and displays it in, or uses it as a background to the dialogue box displayed on the



monitor 100. Thus, the user has confidence that the dialogue box displayed on the monitor 100 is generated by the trusted component.

Referring to Figure 5 of the drawings, an exemplary embodiment of an information system according to the present invention is based on the trusted computing platform principle described above. Thus, the information system comprises at least a monitor or screen 500, an input entry device, such as a keyboard, 501 and/or a pointing device, such as a mouse or trackball device, 505, a smart card reader 503, a confirmation key 504, and a main motherboard 600.

The main motherboard may be manufactured comprising a processor 601, a preferably permanently fixed trusted component 602, a memory device 700 local to the processor 601, a smart card interface 705, one or more control lines 702, one or more address lines 703, a confirmation key interface 706, and a databus 704 connecting the processor 601, trusted component 602, memory area 700, and smart card interface 705.

Referring to Figure 6 of the drawings, the information system 800 of Figure 5 is located in a prominent position in the predetermined area of interest, e.g. at the reception desk of a building, and is connected to, or includes integrally therein, a database 801 in which is stored information relating to computing platforms within the building and their security attributes. The information system may also be linked or connected to one or more of the computing platforms 802a-802n, and optionally to an external link 804 such as the Internet.

In use, a visitor to a building first identifies an information system according to the invention, which may be accompanied by some form of written statement by the service provider attesting the trustworthiness of the system. Thus, the  
5 statement may read "Organisation XYZ attests that this equipment is a Trusted System. This means that the organisation has taken every reasonable step to ensure the trustworthiness of information provided or published by the system, to ensure the trustworthiness of the verification  
10 services provided by the system and to ensure that the system maintains the privacy of its users".

Having located the information system, the visitor presents his/her personal computing platform or apparatus to the system and authorises the personal computing apparatus to interact  
15 with the information system. The personal computing apparatus could, for example, be a smart card or laptop computer. Communications between the system and the personal computing apparatus must be unambiguous, i.e. it must be obvious which information system is communicating with the computing  
20 apparatus. Thus, the system must be a contact device or use, for example, directional wireless communication, such as IR. In any event, if the personal computing apparatus is a smart card, the interaction authorisation step may consist of entering into the information system a Personal Identification  
25 Number (PIN) which is then forwarded to the smart card for verification.

In some circumstances, such as within the premises of a private organisation, it may be desirable for the information system to verify the identity of the visitor before commencing

communication. Such verification may take the form of a cryptographic challenge by the information system, i.e. a request for a password or code to be entered, in response to which the visitor (or his/her personal computing apparatus) must enter the correct password or code before communication will continue. This step may, however, be unnecessary or undesirable in public buildings such as libraries and museums, for example.

Once the authorisation and verification process has been completed, the information system provides information about computer platforms within the building, together with their security attributes where appropriate, and also indicates any additional services which the system provides. At least the information regarding the additional services available to the visitor is preferably presented on the monitor or screen of the system, although, the system itself may not have a screen, in which case such information may be displayed on the screen of the visitor's personal computing apparatus, where appropriate. In any event, the system provides the information regarding computer platforms within the predetermined area to the visitor's personal computing apparatus.

The additional services offered by the information system may permit the visitor to perform operations on the information provided by the system and/or to perform remote operations upon the information provided by the system, and report the results back to the visitor. For example, the information system may have greater computational power than the visitor's personal computing apparatus, in which case, the visitor may ask the information system to communicate with another service of the



computing apparatus can then identify the platforms in the area which can be trusted by the visitor.

In general, the implementation of the information system of the invention must be trustworthy and, as such, should be designed  
5 and built such that its operations cannot be subverted. It may, for example, be incapable of executing any function other than one built into it. It may have physical protection to minimise the chance of physical alteration and/or it may periodically execute a self-test algorithm and report the  
10 result to a control centre. Alternatively or additionally, it may periodically execute a cryptographic challenge /response protocol to a control centre to request permission to operate, and stop operating if such permission is not forthcoming.

Embodiments of the present invention have been described above  
15 by way of examples only and it will be apparent to persons skilled in the art that modifications and variations can be made to the described embodiments without departing from the scope of the invention as defined by the appended claims.